



SECOND #3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshiaki NANKO

Serial No.: 09/991,880

Filed: November 26, 2001

For: FRONT DERAILLEUR FOR BICYCLE

:  
: **Attn.: BOX MISSING PARTS**  
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: Patent Art Unit: 3682  
:  
:

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

**BOX MISSING PARTS**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

In response to the Communication dated December 6, 2001, copy attached, submitted herewith are:

- [X] An executed Declaration and Power of Attorney.
- [X] An Assignment of the invention to Shimano Inc.
- [X] An executed Certificate under 37 C.F.R. 3.73(b).
- [X] A check in the amount of \$928.00 is attached to cover the filing fee of \$740.00, the additional claim fee of \$18.00 the surcharge fee of \$130.00, and the Assignment recording fee of \$40.00.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.: 50-1836. A duplicate copy of this sheet is attached.
  - [X] Any additional patent application processing fees under 37 CFR 1.16.
  - [X] Any additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

David L. Tarnoff  
Attorney of Record  
Reg. No. 32,383

SHINJYU GLOBAL IP COUNSELORS, LLP  
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Dated: 12/17/01

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,880	11/26/2001	Yoshiaki Nanko	SN-US015166

22919  
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1233 20TH STREET, N.W. SUITE 700  
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CONFIRMATION NO. 3242

## FORMALITIES LETTER



\*OC00000007164608\*

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 888.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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740.00 DP  
18.00 DP  
130.00 DP